Clinical relevance of stem cell surface markers CD133, CD24, and CD44 in colorectal cancer

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Abstract: Colon cancer stem cells (CSC) identified by cell surface markers CD133, CD24, and CD44, have been shown to be involved with tumor formation, chemotherapy resistance, and the progression of metastatic disease. Using an in silico translational approach, we hypothesize that a combination of these CSC markers has prognostic value in a large cohort of patients with colorectal cancer. Clinicopathologic and RNA expression data from a total of 594 colorectal cancer (CRC) patients from TCGA were analyzed. The expression of CD133, CD24, and CD44 was individually defined as “high” or “low” based on the median expression. Disease specific survival (DSS) and overall survival (OS) were not associated with tumors that are CD133-high or CD44-high alone. Patients with CD24-high tumors have significantly better DSS (P<0.001) and OS (P = 0.043). CD24-high, CD44-high and CD133-high tumors were associated with significantly greater EGFR, KRAS and Ki67 expression (all P<0.001). CD133, CD24 and CD44-high tumors were independently enriched for conventional stemness-related signaling pathways such as Wnt/β-catenin and Hedgehog signaling pathways. There was no survival difference linked to CD133-high/CD44-low patients, but CD44-high/CD24-low patients have worse DSS (P = 0.005) compared with CD44-low/CD24-high patients. CD133-high/CD24-low tumors show significant negative enrichment of MYC targets, E2F targets, G2M checkpoint and mitotic spindle gene sets, suggesting less cell proliferation in these tumors. Patients with CD133-high/CD24-low tumors have worse DSS (P = 0.004) and OS (P = 0.044), and are more likely to have early and late recurrences. In conclusion, we demonstrated that CD133-high/CD24-low tumors may predict colorectal cancer prognosis.

Keywords: Cancer stem cells, CD133, CD24, CD44, colon cancer, gene set, cancer biomarker

Introduction

Colon and rectal cancers (CRC) are the 3rd leading cause of cancer-related death in the US. Metastatic disease occurs in up to 15-20% of newly diagnosed CRC, and the 5-year survival for stage IV disease is only 12% [1]. Up to 60-70% of metastatic colon cancer recurs after resection. The survival benefit of adjuvant chemotherapy in stage III node-positive CRC is only as high as 25% [2]. Ongoing efforts in investigating new treatment strategies for CRC include understanding the involvement of cancer stem cells.

Since 2007, the discovery of a subpopulation of tumor-initiating cells in colon cancer has led to greater insight into the metabolic characteristics of colon cancer stem cells (CSC) and reshaped the understanding of cancer development and metastasis [3, 4]. Features of CSCs include a capacity for self-renewal, resistance to chemotherapy and/or radiation, and greater metastatic potential that may be shaped by the tumor microenvironment [5]. Investigations into the functional phenotypes of CSC have been propelled by the availability of inhibitors that may block signaling pathways that traditionally regulate CSC growth, such as Wnt/β-
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catenin, Notch, and Hedgehog signaling pathways [6].

Specific CSC surface markers may be prognos-
tic [7-9]. However, the clinical relevance of
which surface markers or combination of sur-
face markers has been controversial. Putative
stem cell markers include CD133-a hematopo-
etic stemness marker as known as Prominin 1,
CD24-a cell adhesion molecule, and CD44-a
hyaluronic receptor. In vitro studies have shown
that a single colon cancer cell highly expressing
CD133 or CD44 can give rise to tumors that
have the full array of heterogeneity [3, 4, 10,
11].

To date, the clinical relevance of these CSC
surface markers in CRC has been reported only
in small institutional studies [12-20]. In addi-
tion, the heterogeneity in techniques and anti-
bodies to identify these cell surface markers
make comparisons across studies difficult.
Therefore, we propose the use of transcriptom-
ic data from The Cancer Genome Atlas to evalu-
ate clinical relevance of these putative CSCs on
a large scale. We hypothesize that a combina-
tion of CSC surface markers is prognostic in
colorectal cancer.

Methods

Clinical and gene expression data of colorectal
cancer cohort

The clinicopathologic and RNA sequence data
of 594 patients from TCGA-colorectal cancer
cohort were obtained and downloaded via
Genomic Data Commons Data Portal (GDC). In
the cohort, 63.6% of patients had colon cancer
and 26% had rectal cancer, and 10% of pa-
tients had mucinous adenocarcinoma of the
colon or rectum. The mutation status was
obtained from cBioportal (https://www.cbio-
portal.org), as previously performed and descri-
ded [21-23]. The frequency of APC mutation in
the TCGA cohort is 72.5%, 40.8% for KRAS
mutation and 11.6% for BRAF mutation. The
staging for colorectal cancer was performed in
accordance with American Joint Committee on
Cancer staging guidelines. The approval of the
Roswell Park Institutional Review Board was
waived due to the deidentified nature of the
data points.

Gene set enrichment analysis (GSEA)

Fifty Hallmarks of Cancer gene sets in the
Molecular Signatures Database (MSigDB) [24]
collection were analyzed to investigate the bio-
logical function using GSEA as previously dem-
onstrated by the Broad Institute (http://www.
gsea-msigdb.org/gsea/index.jsp) [25]. Enrich-
ed gene sets were categorized in accordance
with previous publications [26]. For instance,
cell proliferation-related gene sets consist of
G2M checkpoints [21, 27], E2F Targets [22],
MYC Targets v1 and v2 [28, 29], and Mitotic
Spindle [30] as we have previously reported.
The False Discovery Rate (FDR) was used for
statistical analysis. Because multiple gene sets
were analyzed with our study, we used the FDR
value of less than 0.25 as the cutoff for signifi-
cance, which was recommended by the Broad
Institute to adjust for gene set size.

Cell composition analysis

Based on the transcriptomic data of the cohort,
the web-based computational algorithm, xCell,
was used to perform cell types enrichment
analysis of immune cells between tumor groups
as previously described [22, 27, 31-35]. The
xCell algorithm allows researchers to get the
transcriptomic data of 64 cell types in tumor
microenvironment. These include not only
immune cells such as regulatory T cells [36], T
helper cells [37], M1 and M2 macrophages
[35], CD8+ cells [33], CD4 memory cells [33],
dendritic cells [38], and B cells, but also strom-
al cells [39, 40]. The xCell algorithm was
developed at the University of California-San
Francisco (https://www.xcell.ucsf.edu).

Statistical analyses

The median expression level of CD133, CD24,
and CD44 was used to distinguish between
“high” versus “low” expression. Histograms
were created based on the expression levels of
each marker. One-way ANOVA test was used
for statistical comparisons between groups.
Fisher’s exact test was used for the recurrence
analysis. The P-value of less than 0.05 repre-
sent a statistically significant difference.
Tukey type boxplots showed median and inter-
quartile level values; Mann-Whitney U test was
used to calculate P values. Kaplan-Meier plots
with log-rank test were used for survival analy-
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All statistical analyses were performed using R software (version 4.0.1, http://www.r-project.org/).

Results

CD133-high tumors are associated with stemness signaling pathways, but not with clinical or pathologic outcomes

Based on data from in vitro studies that support CD133 as a stemness marker, we first expected that CD133-high tumors are associated with pathways and genes that are abnormally regulated in cancer stem cells. In particular, the gene set enrichment analysis (GSEA) of the Hallmarks of cancer genes showed that CD133-high CRC significantly enriched for Wnt/β-catenin signaling (normalized enrichment score (NES) = 1.40, and false discovery rate (FDR) = 0.17), TNF-α signaling via NFκB (NES = 1.33, FDR = 0.16), PI3K AKT MTOR signaling (NES = 1.33, FDR = 0.19), and Hedgehog signaling (NES = 1.31, FDR = 0.14), which are all genes related to conventional stemness signaling pathways (Figure 1A). Further, treatment-relevant markers of CRC, such as EGFR, KRAS and proliferation marker Ki67 were all significantly elevated in CD133-high CRC (all P<0.001, Figure 1B). This suggests high proliferation of CD133-high CRC tumors. Clinicopathologic features such as AJCC cancer stage, tumor location, tumor histology were not associated with CD133 expression levels (Figure 1C). Based on previous studies, we expected that patients with CD133-high tumors would have worse clinical outcomes; however, we found that neither disease specific survival nor overall survival was associated with tumors that are CD133-high (Figure 1D).

The association of CD24 and CD44 expression with clinicopathologic features of CRC

We next investigated the clinical relevance of the putative CSC markers, CD24 and CD44. Consistent with stemness, CD24-high CRC tumors were significantly enriched for Wnt/β-catenin signaling (NES = 1.45, FDR = 0.15), Notch signaling (NES = 1.41, FDR = 0.15), PI3K AKT MTOR signaling (NES = 1.39, FDR = 0.14), TNF-α signaling via NFκB (NES = 1.33, FDR = 0.13), and Hedgehog signaling (NES = 1.28, FDR = 0.15) (Figure 2A). CD24-high CRC was associated with significantly elevated EGFR, KRAS and Ki67 expressions (all P<0.001, Figure 2B). Higher expression level of CD24 correlated with left-sided rather than right-sided colon tumors (P = 0.018) and correlated with adenocarcinoma rather than mucinous histology (P<0.001, Figure 2C). However, no significant difference in CD24 expression level was found amongst different stages of CRC (Figure 2C). Although previous studies have shown that high cytoplasmic CD24 correlated with poor prognosis, we found that patients with CD24-high CRC had better disease-specific survival (P<0.001) and overall survival in the TCGA population (P = 0.04, Figure 2D).

As anticipated, CD44-high CRC were significantly associated with aberrant stemness related gene sets, including Wnt/β-catenin (NES = 1.30, FDR = 0.14), Hedgehog (NES = 1.35, FDR = 0.16), PI3K AKT MTOR (NES = 1.35, FDR = 0.14), and Notch signaling (NES = 1.46, FDR = 0.21) (Figure 3A). Treatment-relevant markers; KRAS, EGFR and Ki67 were all elevated in CD44-high tumors (all P<0.001, Figure 3B). Higher expression level of CD44 was significantly associated colon tumors (P = 0.003), and tumors with mucinous histology (P = 0.017, Figure 3C). Patients with CD44-high CRC were not significantly associated with better disease specific survival nor overall survival (Figure 3D).

Tumors with high expression of CSC markers are not associated with immune cell infiltrations in the tumor microenvironment

Due to the recent development on the prognostic value of the Immunoscore in CRC as well as the possibility of immune-escape of CSCs as modulated by immune cells [24, 41, 42], we investigated the association of immune cell fractions in tumors with CSC marker expressions. Tumors that were low in CD24 were significantly associated with higher M1 macrophages (P = 0.003), B cells (P = 0.03) and dendritic cells (P<0.001, Figure S1), suggesting that these tumors may attract an anti-cancer immune response. However, tumors with a combination of CD44 and CD133 or CD24 did not show significant associations with pro-cancer nor anti-cancer immune cells (Figure S2). In addition, tumors with high CD133 and CD44 were also not associated with immune cells (Figure S1).
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Mutational analysis

Given that up to 40% of CRC tumors have a KRAS mutation and 60% have an APC mutation [43], we also investigated the relationship between mutational status and CSC marker expressions. We found that there was significant increase in expression of CD133 for tumors with KRAS gain-of-function mutation, but not in tumors with APC loss-of-function mutation or mutant BRAF (Figure 4). Consistent with previous reports [44], there were significant increase in expression of CD24 and CD44 in mutation of APC, KRAS and the mutant

Figure 1. Molecular biological and clinical features of CD133-high colorectal cancer (CRC) in the TCGA cohort. A. GSEA of stemness-related gene sets; WNT, TNF-α, PI3K-AKT, and Hedgehog signaling. NES and FDR were determined with the classical GSEA method, where FDR<0.25 is considered significant. B. Expression levels of CRC treatment related genes, EGFR, KRAS, and MKI67. C. CD133 gene expression levels by AJCC cancer stage, location of cancer, and histology of CRC. D. Kaplan-Meier plots of disease-specific survival and overall survival by expressions of CD133 high (red line) and low (blue line) in the TCGA cohort are demonstrated. Median cut-off was used to divide two groups. Log-rank test was used to calculate P value. AJCC, American Joint Committee on Cancer; GSEA, gene set enrichment analysis; FDR, False Discovery Rate; NES, normalized enrichment score.
downstream effector of the Ras-Raf-MEK-ERK pathway, BRAF (Figure 4). This demonstrates the potential interaction between germline or sporadic mutations of APC, KRAS and BRAF and aberrant stemness behavior in a large cohort.

Patients with CD44-high/CD24-low tumors have worse disease-specific survival and more likely to have recurrence.

With evidence from literature that CSC profiles consistent of more than one stemness surface...
marker are tumorigenic, we hypothesized that tumors with a combination of putative CSC would be prognostic. Studies suggest that tumors that are CD133/44 high may be prognostic [45]. However, CD133-high/CD44-low tumor was not associated with DSS, OS or disease recurrence in TCGA cohort (Figure S3).

On the other hand, CD44-high/CD24-low CRC tumors were significantly associated with worse DSS (P = 0.005, Figure 5A), and disease recurrence (P = 0.016, Figure 5B), but not with OS (P = 0.155, Figure 5A). However, GSEA did not show significant enrichment of any gene sets for CD44-high/CD24-low CRC (data not shown).

Figure 3. Molecular biological and clinical features of CD44-high CRC in the TCGA cohort. A. GSEA of stemness-related gene sets; WNT, Hedgehog, PI3K-AKT, and NOTCH signaling. NES and FDR were determined with the classical GSEA method, where FDR<0.25 is considered significant. B. Expression levels of CRC treatment related genes, EGFR, KRAS, and MKi67. C. CD44 gene expression levels by AJCC cancer stage, location of cancer, and histology of CRC. D. Kaplan-Meier plots of disease-specific survival and overall survival by expressions of CD44 high (red line) and low (blue line) in the TCGA cohort are demonstrated. Median cut-off was used to divide two groups. Log-rank test was used to calculate P value. AJCC, American Joint Committee on Cancer; GSEA, gene set enrichment analysis; FDR, False Discovery Rate; NES, normalized enrichment score.
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Figure 4. Gene expression levels of cancer stem cell surface markers, CD133, CD24, CD44, by mutation status of APC, KRAS and BRAF genes. A. Boxplots of gene expression levels of cancer stem cell surface markers, CD133, CD24, CD44, by wild type (Wt) or mutation of Adenomatous polyposis coli (APC), KRAS, BRAF genes. Mann-Whitney U test was used to calculate P values.

Previous small studies have also suggested a role of CD133-high/CD24-low tumors in predicting recurrence [12, 45, 46]. The GSEA showed negative enrichment of stemness signaling pathways (Figure S4). However, CD133-high/CD24-low tumors demonstrated significant negative enrichment of all the Hallmark cell proliferation-related gene sets: MYC targets (NES -1.71, FDR 0.04), E2F targets (NES -1.63, FDR 0.10), G2M checkpoint pathway (NES -1.55, FDR 0.06), and mitotic spindle gene sets (NES -1.42, FDR 0.12, Figure 6A). This suggests lower cell proliferation in these tumors, which is one of the phenotypes of stemness. Interestingly, CD133-high/CD24-low tumors were also negatively enriched for genes related to DNA repair (NES -1.56, FDR 0.06, Figure 6A), suggesting possible link with impaired DNA repair mechanisms. Additionally, patients with CD133-high/CD24-low tumors had worse DSS (P = 0.004) and OS (P = 0.04, Figure 6B), after a 10-year follow up. The comparison between patients with CD133-high/CD24-low tumors and all other groups is shown in Figure S5. Although comparison of CD44-high/CD24-low CRCs (n = 107) in Figure 5 and CD133-high/CD24-low CRCs (n = 104) in Figure 6 would be of interest, it would not be statistically appropriate since they were different grouping of the same cohort and approximately half of patients (n = 54) were included in both groups. On the other hand, in the subpopulation of patients with recurrence, patients with CD133-high/CD24-low tumors tended to have early recurrence within 3 years after initial treatment as well as late recurrence, compared to patients with CD133/low-CD24-high tumors (P = 0.01, Figure 6C).

Discussion

In this study, we investigated the link of recognized CSC surface markers to clinically relevant outcomes of colorectal cancer using a large cohort of patients. In vitro studies have found that cells that overexpress either CD133, CD24 or CD44 can independently initiate and sustain tumors that can recapitulate the histology of the parent tumor, which is one of the hallmarks of stemness [3, 4, 10]. Our analysis of gene set enrichment from a large number of patient specimens confirmed the enrichment of genes that are abnormally regulated in CSCs, such as Wnt/β-catenin pathway, Notch signaling,
Hedgehog signaling and TNF-α via NFκB. Aberrancy in signaling of these pathways could induce CSC self-renewal, exit out of dormancy, dedifferentiation, inhibition of apoptosis, and increase proliferation through MYC [6]. Indeed, we showed that tumors that were CD133-high, CD24-high or CD44-high showed enrichment of proliferation-related gene sets when an enrichment of Wnt/β-catenin signaling was also present.

Interestingly, we showed that higher CD44 expression was found in mucinous carcinomas and higher CD24 expression was found in adenocarcinoma. Indeed, these findings were congruent with a recent study that linked the expression of mucin to CD44-expression colon cancer stem cells and their role in chemoresistance [47]. Furthermore, CD24 has been found in 90% of adenoma and 86% of malignant lesions and has been hypothesized to be involved in early adenoma to carcinoma transition [48].

Studies have shown that colon cancer with chemotherapy and radiation resistance, greater invasive potential, and progression to metastatic disease tend to have a subpopulation of CSCs that express different markers [12, 15, 45, 46, 49]. The CD133-high/CD24-low CRC in this study exhibited decrease in the enrichment of cell proliferation-related pathways, as well as early recurrence and poor survival at the same time. These observations initially may seem to conflict; however, it makes sense if these tumors are in dormancy with less proliferation that leads to less response to chemotherapy, greater resistance to apoptosis and therefore, have greater potential for tumor recurrence. This is supported by studies showing that while CD133 cells are only present in 2% of the bulk tumor in colorectal cancer, they tend to produce interleukin-4 that protect them from apoptosis [50]. In addition, CRC with increased CD133 expression when exposed to neoadjuvant chemotherapy and radiation tend to have greater residual tumor and lower tumor regres-
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Figure 6. Gene set enrichment analysis (GSEA), patient survival and cancer recurrence by combination expression of CD133 and CD24 in the TCGA cohort. A. GSEA of all cell proliferation-related gene sets in Hallmark collection; MYC signaling version 1 and MYC signaling version 2, E2F targets, G2M checkpoint, Mitotic spindle, as well as DNA repair, were compared between CD133-high/CD24-low vs CD133-low/CD24-high. NES and FDR were determined with the classical GSEA method, where FDR<0.25 is considered significant. B. Kaplan-Meier plots of disease-specific survival and overall survival by expressions of CD133-high/CD24-low (red line) and CD133-low/CD24-high.
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...methylation contributes to the stemness phenotype. Tao et al. showed that DNA hypermethylation contributes to the stemness phenotype. Recent in vitro reports have also shown the increasing role of epigenetic regulation that affect the stemness phenotype. Our study also did not show that there was a survival difference in CD133-high tumors or CD44-high tumors. However, patients with CD24-high tumors showed significantly better disease-specific survival and overall survival, compared to patients with CD24-low tumors. The multifactorial regulation of CSCs may contribute to these findings. Stochastic factors involving sporadic or germline gene mutations have been shown to regulate stemness properties. Moon et al. demonstrated that in mice with the background of APC loss-of-function, KRAS mutations can activate the Wnt/β-catenin pathway, leading to increase proliferation and likelihood of metastatic disease [54]. Indeed, we showed that mutant KRAS is independently associated with increased expression of CSCs, CD133, CD24 and CD44. Recent in vitro reports have also shown the increasing role of epigenetic regulation that affect the stemness phenotype. Tao et al. showed that DNA hypermethylation contributes to the stemness phenotype in the background of BRAFV600E mutation, promoting formation of tumor organoids [55]. Cell lines have demonstrated greater CD133 expression with decreased methylation of CpG islands, and histone H3 acetylation is also involved with regulation of CD44 expression [53]. With our analysis, since we are only able to assess the transcriptional products of these cancer stem cell markers, the impact of epigenetic silencing will require further investigation. Lastly, the tumor microenvironment has been shown to contribute an important role in maintaining stemness and tumorigenicity [56]. Vermeulen et al. demonstrated that tumor-associated myofibroblasts secrete hepatocyte growth factors that stimulate the nearby colon cancer cells to maintain stemness through the Wnt/β-catenin pathway [57]. More recently, the same group demonstrated that functional stem cells that drive tumor growth appear to reside at the edge rather than the center of tumors, and that they do not necessarily express traditional stem cell markers such as CD133. This demonstrated the importance of spatial orientation of cells within the bulk tumor in promoting stemness phenotypes such as chemotherapy resistance and tumor growth [58]. In this respect, CSCs may rely on the optimal tumor microenvironment to be able obtain functional stemness phenotypes that would affect their impact on tumor growth.

Since this is fundamentally a retrospective study, there are several relevant limitations. The data was based on patient samples made available in a public domain. Therefore, the analysis relied on the information that had already been catalogued in the TCGA, which has limited data granularity. In addition, although the spatial relationship of the cancer stem cell relative to the bulk tumor may be important, the location from which the patient sample was derived may be variable from patient to patient. Cancer stem cells that are present at the periphery of the tumor may not have been sampled, which may result in an underrepresentation of the full array and functionality of the CSCs. Nonetheless, this bioinformatics report is one of the first that used a large cohort of patients from the TCGA to link CSC markers to patient outcomes.
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Conclusion

In conclusion, our bioinformatics analysis showed that CD133, CD24 and CD44 were individually associated with cell proliferation. This did not translate to a difference in overall survival. However, mucinous histology was significantly more associated with higher CD44 while adenocarcinomas were associated with higher CD24. The combination of CD133-high/CD24-low characterization is associated with poorer prognosis and greater recurrence. This may be due to decreased proliferation and absence of stemness features associated with CD133-high/CD24-low tumors. Further studies will be needed to understand the interactions between these CSC surface markers and their impact on tumor formation.

Acknowledgements

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Disclosure of conflict of interest

None.

Abbreviations

CSC, cancer stem cell; TCGA, The Cancer Genome Atlas; GSEA, Gene set enrichment analysis; DSS, Disease-specific survival; OS, Overall survival.

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**Figure S1.** Pro-cancerous and Anti-cancerous immune cell infiltrations by cancer stem cell surface marker gene expressions, CD133, CD24 and CD44. Amount of cell infiltration was estimated by xCell algorithm. Marker gene expression was determined high vs low by median cut-off.
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CD133/CD44

PHCL: CD133-high/CD44-low
PLCH: CD133-low/CD44-high

Pro-cancerous

<table>
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<th>Regulatory T cell</th>
<th>T helper cell (Th2)</th>
<th>Macrophage (M2)</th>
<th>CD8+</th>
<th>CD4 memory</th>
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<td>p= 0.267</td>
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</tr>
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</table>

Anti-cancerous

CD24/CD44

PHCL: CD24-high/CD44-low
PLCH: CD24-low/CD44-high

Pro-cancerous

<table>
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<tr>
<th>Regulatory T cell</th>
<th>T helper cell (Th2)</th>
<th>Macrophage (M2)</th>
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Anti-cancerous
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**Figure S2.** Pro-cancerous and Anti-cancerous immune cell infiltrations by combination of expressions of cancer stem cell surface markers, CD133/CD44, CD24/CD44, and CD133/CD24. Amount of cell infiltration was estimated by xCell algorithm. Marker gene expression was determined high vs low by median cut-off.
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Figure S3. Survival analyses by combination of expressions of cancer stem cell surface markers, CD133/CD44. A. Kaplan-Meier plots of disease-specific survival and overall survival by expressions of CD133-high/CD44-low (red line) and CD133-low/CD44-high (blue line) in the TCGA cohort are demonstrated. B. Number of patients were compared between CD133-high/CD44-low (gray box) and CD133-low/CD44-high (closed box) by no recurrence for 10 years after diagnosis (None), recurrence in less than 5 years after diagnosis (Early) and recurrence 5-10 years after diagnosis (Late). Median cut-off was used to divide high vs low groups. Log-rank test was used to calculate $p$ value.
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Figure S4. Gene set enrichment analysis (GSEA) of combined expression of CD133 and CD24 in the TCGA cohort. GSEA of cancer stemness-related gene sets; WNT signaling, Hedgehog signaling, Notch signaling and PI3K-AKT MTOR signaling.

CD133 high/24 low-tumors

<table>
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Figure S5. Disease specific survival and overall survival for the CD133/24 combination groups. Kaplan-Meier plots of disease specific survival and overall survival by 4 combinations of tumors with CD133-high/CD24-high, CD133-high/CD24-low, CD133-low/CD24-high, and CD133-low/CD24-low expression. Log-rank test was used to calculate the p value.