

## Original Article

# Randomized phase II study of pemetrexed-cisplatin or docetaxel-cisplatin plus thoracic intensity-modulated radiation therapy in patients with stage IV lung adenocarcinoma

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Received May 8, 2019; Accepted May 15, 2019; Epub June 1, 2019; Published June 15, 2019

**Abstract:** Systemic chemotherapy is the standard treatment modality for stage IV lung adenocarcinoma patients with EGFR wild-type or unknown mutation status. Recent years, there is increasing evidence showed that selected patients with stage IV disease could benefit from aggressive thoracic radiotherapy. Either pemetrexed or docetaxel, combined with cisplatin, can be used for patients with stage IV lung adenocarcinoma. However, no prospective trials have confirmed that Pem-Cis was superior to Doc-Cis in lung adenocarcinoma. In this randomized phase 2 trial, we evaluated survival outcomes, and toxicity of Pemetrexed-Cisplatin (arm A) or Docetaxel-Cisplatin (arm B) with concurrent IMRT to the primary tumor for stage IV lung adenocarcinoma patients with EGFR wild-type or unknown mutation status. Totally, 101 patients were randomly assigned (50 in arm A and 51 in arm B). Using an intention-to-treat analysis, one-year survival rates were 72.0% and 52.9%, respectively ( $P=0.020$ ). Progression-free survival was also significantly improved in the arm A (median, 12.6 v 7.5 months,  $P=0.013$ ). The incidence and severity of acute pneumonitis and esophagitis was similar between two arms. Although more of grade 3 or 4 anemia and thrombocytopenia in arm A, and higher rates grade 3 or 4 neutropenia, and leukopenia were observed in arm B. Pem-Cis first-line chemotherapy with concurrent radiation therapy for stage IV lung adenocarcinoma patients with EGFR wild-type or unknown mutation status represents a potential treatment option with acceptable toxicity and high overall survival rates.

**Keywords:** Stage IV, lung adenocarcinoma, concurrent chemoradiotherapy, pemetrexed, docetaxel, phase II study

## Introduction

Approximately 60% of patients who have been newly diagnosed with non-small cell lung cancer (NSCLC) have distant metastases [1]. Platinum-based chemotherapy typically produces response rates of approximately 30% and median survival times of 8 to 10 months, and different chemotherapy regimens have had similar efficacy [2, 3]. The efficacy of chemotherapy in NSCLC might have reached a plateau [4]. At present, adenocarcinoma has become the most common histologic type in NSCLC, accounted for approximately 90% in women and 50% in men [5].

In current clinical practice, epidermal growth factor receptor gene (EGFR) tyrosine kinase in-

hibitors (TKIs) are used first for the treatment of lung adenocarcinomas with EGFR sensitizing mutation. However, the prevalence of EGFR mutations in adenocarcinoma is 10% of Western and up to 50% of Asian patients [6]. Although, antibodies against programmed death protein 1 (PD-1), such as pembrolizumab monotherapy, can be used as first-line therapy to patients with metastatic NSCLC without sensitizing EGFR or ALK alterations with PD-L1 TPS of 1% or greater [7]. However, the cost of pembrolizumab is high, and many patients cannot afford pembrolizumab treatment. The China National Health Insurance does not reimburse the expenditure associated with this drug. Pembrolizumab is not likely to be cost-effective in the treatment of PD-L1 positive, NSCLC patients [8, 9]. Thus, platinum-based chemo-

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therapy has been also most commonly used to treat lung adenocarcinoma patients with negative or unknown EGFR mutations.

For patients with metastatic NSCLC disease, clinicians tend to attach more importance to systemic therapy to control the metastatic lesions than to local treatment to control the primary tumor. However, the status of primary tumor was associated with OS. Higginson et al. [10] reported that patients with advanced NSCLC and bulky central disease, bronchial/vascular compression, and/or pulmonary symptoms had worse OS. Primary tumor volume was also the main contributors to OS [11, 12]. Recent years, there is increasing evidence showed that selected patients with stage IV disease could benefit from aggressive thoracic radiotherapy [11, 13-16]. For patients with advanced stages of EGFR-mutant lung adenocarcinomas, Yen et al. also demonstrated the survival benefits of combining thoracic RT (45 Gy at least) and EGFR TKI [17]. Docetaxel plus cisplatin (Doc-Cis) chemotherapy with concurrent thoracic radiation to the primary tumor has produced favorable survival outcomes with acceptable toxicity in our previous prospective studies and in other retrospective studies [11, 18, 19]. According to a randomized study comparing the efficacy of pemetrexed plus cisplatin (Pem-Cis) with Doc-Cis in patients with non-squamous NSCLC, Pem-Cis showed a similar response and survival outcomes compared with Doc-Cis [20]. However, no prospective trials have directly compared the efficacy and toxicity of concurrent use of thoracic radiation with either Pem-Cis or Doc-Cis for patients stage IV lung adenocarcinoma. Compared with three-dimensional conformal radiation therapy (3D-CRT) for NSCLC, intensity-modulated radiation therapy (IMRT) was associated with lower rates of severe pneumonitis and cardiac doses, and the routine use of IMRT was recommended [21]. Thus, we conducted this randomized phase 2 trial to test survival outcomes, and toxicity of Pem-Cis or Doc-Cis plus concurrent thoracic IMRT for stage IV lung adenocarcinoma (Chinese Clinical Trial Registry ChiCTR-TRC-13004184).

### Material and methods

#### *Patients selection*

Patients fulfilled all of the following criteria have been treated using a prospective institutional

protocol at our cancer centre. The inclusion criteria were as follows: (1) histologically or cytologically confirmed lung adenocarcinoma; (2) newly diagnosed stage IV disease [22] (staged according to the 7th edition of the staging system); (3) no previous anticancer treatment; (4) 18 to 75 years of age; (5) a Karnofsky performance status (KPS) score  $\geq 70$ ; (6) no contraindications to radiation therapy or chemotherapy; (7) metastatic disease limited to 3 organs; (8) presumed ability to tolerate to received at least two chemotherapy cycles; (9) EGFR mutation status was unknown or wild-type; and (10) patients were eligible for randomization only if radiation plan satisfied normal tissue constraints with tumor dose at least 60 Gy. Key exclusion criteria were (1) a history of thoracic surgery; (2) pregnancy or lactation at the time of enrollment; (3) previous malignancy or other concomitant malignant disease; and (4) having pleural effusion and pericardial effusion; (5) having activating EGFR mutations. This study was reviewed by the ethical review boards in China (Ethics Committee of Guizhou Cancer Hospital, GuiYang, China), and informed consent was obtained from all patients.

#### *Pretreatment evaluations*

All patients underwent fiberoptic bronchoscopy and contrast-enhanced computed tomography (CT) of the chest to evaluate the extent of the primary tumor and regional lymph node status. All patients also underwent bone scintigraphy, contrast-enhanced CT of the abdominal region, and magnetic resonance imaging (MRI) of the head to detect distant metastases. Positron emission tomography (PET) scan was optional and not required. Positive findings of skeleton on positron emission tomography (PET) or bone scintigraphy required other additional radiologic confirmation (eg, MRI or CT of bone). Pretreatment evaluations were to be completed within 2 weeks before treatment was begun.

#### *Treatment protocol*

We designed a randomized prospective phase 2 study to compare Pem-Cis (arm A) or Doc-Cis (arm B) combined with concurrent thoracic IMRT. Patients were randomized (1:1) to arm A: pemetrexed 500 mg/m<sup>2</sup> administered intravenously on day 1 followed by cisplatin 75 mg/m<sup>2</sup> intravenously on day 2, or to arm B: docetaxel 65 mg/m<sup>2</sup> administered intravenously on day 1 followed by cisplatin 75 mg/m<sup>2</sup> on day 2. The

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drug regimens were administered every 3 weeks, up to a maximum of six cycles or until drug discontinuation because of progressive disease, unacceptable toxicity, or any other reason. No maintenance therapy was given in both arms.

The same radiation therapy protocol was used to the two arms. Radiation to primary tumor was implemented by IMRT techniques. The gross tumor volume (GTV) included the thoracic primary tumor plus positive lymph nodes ( $>1$  cm on short axis, or  $^{18}\text{F}$ -FDG standard uptake value  $\geq 2.5$  on PET/CT) and was outlined on the treatment planning CT scan. The clinical target volume (CTV) was defined as the GTV plus a 0.6-cm margin; the planning target volume (PTV) was defined as the CTV plus another margin of 0.5 to 1.0 cm. The percentage of total lung volume receiving  $\geq 20$  Gy (V20) was to be kept at  $\leq 32\%$ , the maximum point dose for the spinal cord to  $\leq 50$  Gy, and the mean esophageal dose to  $\leq 35$  Gy for all individual treatment plans. Patients were eligible for study only if radiation plan satisfied normal tissue constraints with tumor dose at least 60 Gy. Patients received late-course accelerated hyperfractionated radiation therapy (LCAHRT) to the primary tumor as follows. The first course of radiation therapy was given in 2.0-Gy fractions, 5 days per week, to a total dose of 40 Gy; LCAHRT was then delivered in twice-daily fractions of 1.50 Gy each, separated by 6 to 8 hours per day. The prescribed dose to the PTV was to be 60-70 Gy. Radiation therapy was given concurrently with the chemotherapy, beginning within 1 week after beginning the first course of chemotherapy. The treatment team decided whether to deliver radiation to metastatic sites.

### *Evaluation of treatment-related toxicity and response*

Treatment-related acute toxicity was scored with National Cancer Institute's Common Terminology Criteria for Adverse Events version 3.0. During the course of treatment, routine blood tests were performed at least once per week, and routine test results of blood, liver, and renal function and electrocardiography were evaluated before chemotherapy. Symptoms suggestive of pneumonitis or esophagitis were evaluated with chest radiography or CT

examination and barium meal radiography. The treatment response, including complete response (CR), partial response (PR), stable disease (SD), and progressive disease (PD), were evaluated according to the Response Evaluation Criteria in Solid Tumors (RECIST) system [23].

The protocol specified that patients should be assessed for treatment response after every two cycles of chemotherapy. At 1 month after completion of treatment, patients underwent CT scanning of the chest and abdominal region and MRI of the head to assess tumor response. These tests were then repeated every 3 months for 2 years and every 6 months thereafter. Bone scintigraphy was done every 6 months for 2 years and every 12 months thereafter.

### *Statistical methods*

The primary objective was 1-year overall survival (OS) rate. Secondary objectives were to evaluate progression free survival (PFS), and toxicity of these two regimens. The sample size was calculated with the 2-sided significance level of 0.05 and 80% statistical power using a 2-sample log-rank test. On the basis of our previous multicenter phase 2 study [19], we assessed the patients randomly assigned to arm B to yield a 50% 1-year survival rate. According to the JMDB trial, 1-year OS rate of the Pem-Cis chemotherapy was nearly 50% [24]; we predicted the patients assigned to arm A to have a 70% 1-year survival rate.

The Statistical Package for Social Sciences, version 13.0 (SPSS, Chicago, IL, USA) was used for statistical analysis. Intergroup comparisons were performed using the Mann-Whitney U test for continuous variables and Pearson's  $\chi^2$  test for categorical variables. OS was measured from the date of random assignment to the date of death as a result of any cause. PFS was measured from the date of random assignment to the first date of documented objective progression of disease or of death as a result of any cause. The Kaplan-Meier method was used to calculate the OS and PFS. The log-rank test was used to compare the survival curves. Multivariate Cox regression analysis was used to test independent significant prognostic factors for OS. All factors with  $P$  value  $\leq 0.10$  in univariate analysis were further tested in the multivariate analysis. All statistical tests were

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two-sided, and a  $P$  value  $<0.05$  was considered as being statistically significant. The intent-to-treat (ITT) population included all randomized patients regardless of whether they received treatment. Patients who received at least 2 chemotherapy cycles and a thoracic radiation dose of at least 60 Gy were a per-protocol (PP) population.

### Results

#### *Patient characteristics*

From January 2011 to October 2015, 102 patients were enrolled in the study. One patient concomitant with plasmacytoma were considered ineligible after review. Therefore, 101 patients were included in the analysis based on the intention-to-treat principle, 50 patients in arm A, and, 51 patients in arm B. Of the 101 patients, 79 (78.2%) completed treatment in accordance with the protocol (i.e., received at least 2 chemotherapy cycles and a thoracic radiation dose of at least 60 Gy). Of the 22 patients who did not complete treatment, 9 patients refused for personal reasons (none of whom had grade 3 or worse toxicity or disease progression, 4 in arm A and 5 in arm B), 9 for grade 4 hematologic toxicity (6 in arm A and 3 in arm B), 2 for gastrointestinal toxicity (1 in arm A and 1 in arm B), and 2 for new metastases (1 in each of the two arms). Thus the per-protocol analysis included only those 79 patients. Overall, a total of 12 patients (6 in each of the two arms) had EGFR gene aberration tests at the initial diagnosis. The clinical characteristics of the patients were well balanced between both treatment arms (**Table 1**).

#### *Response and survival*

In arm A, 4.0% (2/50) had a complete response, 64.0% (31/50) had a partial response, 24.0% (12/50) had stable disease, and 8.0% (4/50) had progressive disease; corresponding rates in arm B were 3.9% (2/51), 68.6% (35/51), 23.5% (12/51), and 3.9% (2/51). The treatment response rate was not statistically different between the two arms ( $\chi^2=0.250$ ,  $P=0.617$ ).

The last follow-up was in March 2017. The median survival time (MST), and 1-, 2-, and 3-year OS rates were 19.6 (95% CI, 13.9-25.3) months, and 72.0%, 28.0%, and 16.0%, respectively for patients in arm A; whereas the MST

was 12.1 (95% CI, 10.7-13.5) months, and 52.9%, 17.6%, and 13.7%, respectively for patients in arm B. OS in arm A was significantly longer than in arm B ( $\chi^2=3.886$ ,  $P=0.049$ ) (**Figure 1A**). The median PFS was 5.1 months longer in arm A than in arm B, and this increase was statistically significant (median, 12.6 v 7.5 months,  $\chi^2=4.126$ ,  $P=0.042$ ; **Figure 1B**). After progression, a total of 30 patients received second-line therapy. In arm A, eleven patients received second-line chemotherapy, and 3 patients received second-line EGFR-TKI. In arm B, twelve patients received second-line chemotherapy, and 4 patients received second-line EGFR-TKI. Totally, only 7 patients were treated with EGFR-TKI, the OS rates at 1, 2, and 3 years for those patients were 57.1%, 42.9%, and 28.6%, respectively. No survival differences were noted between patients treated with and those not treated with EGFR-TKI ( $\chi^2=2.374$ ,  $P=0.078$ ). Univariate analysis showed that gender ( $\chi^2=4.435$ ,  $P=0.035$ ), and the number of distant metastatic organs ( $\chi^2=3.884$ ,  $P=0.049$ ) were associated with OS. Platelet count ( $\chi^2=3.043$ ,  $P=0.081$ ), hemoglobin (Hb) level ( $\chi^2=3.395$ ,  $P=0.065$ ), KPS score ( $\chi^2=3.102$ ,  $P=0.078$ ) were marginally associated with OS. White blood cell (WBC) count ( $\chi^2=0.698$ ,  $P=0.403$ ), radiation therapy to metastatic sites ( $\chi^2=0.133$ ,  $P=0.715$ ), age ( $\chi^2=0.375$ ,  $P=0.540$ ), T-stage ( $\chi^2=0.001$ ,  $P=0.997$ ), N-stage ( $\chi^2=0.001$ ,  $P=0.995$ ), and primary tumor volume ( $\chi^2=0.395$ ,  $P=0.530$ ) did not affect OS.

For the 79 patients in the per-protocol analysis, the MST, and 1-, 2-, and 3-year OS rates were 20.6 (95% CI, 18.5-22.7) months, and 81.6%, 31.1%, and 15.6%, respectively for arm A; whereas the MST were 12.2 (95% CI, 11.2-13.2) months, and 58.5%, 17.1%, and 12.2%, respectively for arm B. The difference between the two arms was statistically significant ( $\chi^2=5.419$ ,  $P=0.020$ ). Compared with arm B, arm A have a trend to improve PFS for patients (median, 12.0 v 8.7 months;  $\chi^2=2.679$ ,  $P=0.102$ ). Multivariate analysis showed that receiving Pem-Cis chemotherapy, woman, and HB level  $\geq 135$  g/L independently predicted better OS (**Table 2**).

#### *Treatment complications*

There was no any Grade 5 toxicity in both treatment arms, and hematologic toxicity was the

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**Table 1.** Baseline patient and disease characteristics for randomly assigned patients

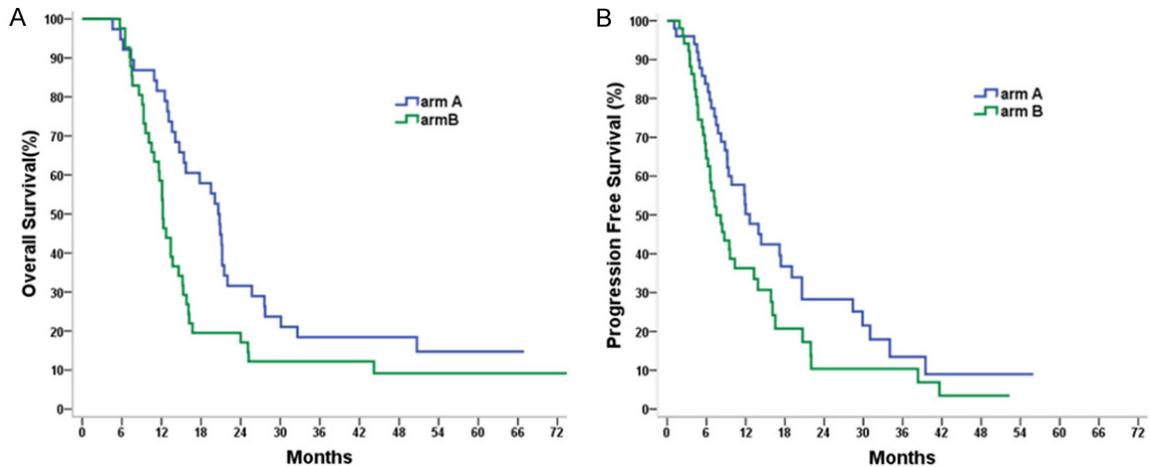
Characteristic	ITT Set (n=101)			PP Set (n=79)		
	Arm A (n=50)	Arm B (n=51)	P value	Arm A (n=38)	Arm B (n=41)	P value
Gender						
Male	30 (60.0%)	31 (60.8%)		21 (55.3%)	24 (58.5%)	
Female	20 (40.0%)	20 (39.2%)	0.936	17 (44.7%)	17 (41.5%)	0.769
Age (years)						
<60 years	34 (68.0%)	31 (60.8%)		26 (68.4%)	25 (61.0%)	
≥60 years	16 (32.0%)	20 (39.2%)	0.138	12 (31.6%)	16 (39.0%)	0.214
KPS						
<80	3 (6.0%)	1 (2.0%)		2 (5.3%)	1 (2.4%)	
≥80	47 (94.0%)	50 (98.0%)	0.298	36 (94.7%)	40 (97.6%)	0.512
T stage						
T <sub>1-2</sub>	11 (22.0%)	19 (37.3%)		9 (23.7%)	16 (39.0%)	
T <sub>3-4</sub>	39 (78.0%)	32 (62.7%)	0.093	29 (76.3%)	25 (61.0%)	0.716
N stage						
N <sub>0-1</sub>	3 (6.0%)	9 (17.6%)		3 (7.9%)	8 (19.6%)	
N <sub>2-3</sub>	47 (94.0%)	42 (82.4%)	0.133	35 (92.1%)	33 (80.4%)	0.136
No. of chemotherapy cycle						
<4	24 (48.0%)	21 (41.1%)		16 (42.1%)	15 (36.6%)	
≥4	26 (52.0%)	30 (58.9%)	0.476	22 (57.9%)	26 (63.4%)	0.616
EGFR mutation status						
Unknown	44 (88.0%)	45 (88.2%)		32 (84.2%)	37 (90.2%)	
Wild-type	6 (12.0%)	6 (11.8%)	0.971	6 (15.8%)	4 (9.8%)	0.420
PET-CT examination						
Yes	43 (86.0%)	45 (88.2%)		32 (84.2%)	36 (87.8%)	
No	7 (14.0%)	6 (11.8%)	0.737	6 (15.8%)	5 (12.2%)	0.645
Metastatic disease status						
Single organ	26 (52.0%)	19 (37.3%)	0.136	20 (52.6%)	18 (43.9%)	0.438
Bone	6 (12.0%)	5 (9.8%)		6 (15.8%)	4 (9.8%)	
Brain	5 (10.0%)	6 (11.8%)		4 (10.5%)	6 (14.6%)	
Lung	8 (16.0%)	5 (9.8%)		7 (18.4%)	6 (14.6%)	
Other	7 (14.0%)	3 (5.9%)		3 (7.9%)	2 (4.9%)	
Two or three organs	24 (48.0%)	32 (62.7%)		18 (47.4%)	23 (56.1%)	
Bone	17 (34.0%)	24 (47.1%)		11 (28.9%)	17 (41.5%)	
Brain	10 (20.0%)	13 (25.5%)		7 (18.4%)	9 (22.0%)	
Lung	17 (24.0%)	15 (29.4%)		11 (28.9%)	11 (26.8%)	
Liver	4 (8.0%)	3 (5.9%)		3 (7.9%)	3 (7.3%)	
Adrenal	6 (12.0%)	6 (11.8%)		4 (10.5%)	3 (7.3%)	
Distant lymph nodes	16 (32.0%)	13 (25.5%)		8 (21.1%)	11 (26.8%)	
Radiation to all metastases						
Yes	21 (42.0%)	20 (39.2%)		15 (39.5%)	18 (43.9%)	
No	29 (58.0%)	31 (60.8%)	0.404	23 (60.5%)	23 (56.1%)	0.690
Gross tumor volume (cm <sup>3</sup> ), Range (Median)	244.8 (76.9-862.3)	198.6 (71.2-630.0)	0.193	242.6 (76.9-862.3)	198.3 (71.2-630.0)	0.372
Mean lung dose (cGy), Range (Median)	2017 (1164-2472)	1994 (1273-2498)	0.708	2084 (1164-2472)	2033 (1325-2498)	0.458
V20 of all lung (%), Range (Median)	31 (21-33)	31 (19-33)	0.506	32 (21-33)	31 (19-33)	0.586
Mean esophagus dose (cGy), Range (Median)	3233 (365-5365)	3052 (1401-4938)	0.869	3179 (1176-5366)	3375 (1401-4938)	0.822
V60 of esophagus (%), Range (Median)	17 (0-68)	21 (0-58)	0.705	25 (0-68)	23 (0-58)	0.657
Prescribed dose						
<60 Gy	6 (11.8%)	12 (24.0%)				
≥60 Gy	45 (88.2%)	38 (76.0%)	0.108			

ITT: intent-to treat; PP: per-protocol.

most common and severe complication. The difference of treatment toxicity between the

two arms was mainly in hematologic toxicity. The incidence of grade 3 or 4 leukocytes and

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**Figure 1.** A. Comparison of OS in the intent-to treat population between two arms. B. Comparison of PFS in the intent-to treat population between two arms.

**Table 2.** Multivariate analysis of factors for the prediction of overall survival

Variable	ITT Set				PP Set			
	HR	95.0% confidence interval		P value	HR	95.0% confidence interval		P value
		Lower	Upper			Lower	Upper	
Sex (female vs. male)	0.563	0.345	0.918	0.021	0.510	0.296	0.878	0.015
KPS score (>80 vs. ≤80)	0.842	0.535	1.325	0.457	0.808	0.487	1.341	0.410
Regimens (Pem-Cis vs. Doc-Cis)	0.907	0.829	0.992	0.032	0.866	0.781	0.959	0.006
No. of metastatic organs (2-3 vs. 1)	1.194	0.752	1.896	0.453	1.159	0.681	1.971	0.587
Hb level (>135 vs. ≤135 g/L)	0.487	0.300	0.790	0.004	0.507	0.296	0.866	0.013
Platelet count (>235 vs. ≤235×10 <sup>9</sup> /L)	1.311	0.830	2.071	0.246	1.591	0.941	2.690	0.083

neutropenia were significantly greater in the arm B. Whereas, Grade 3 to 4 thrombocytopenia and anemia were significantly greater in the arm A. No Grade 3 to Grade 5 radiation pneumonitis was observed in both treatment arms, Grade 2 radiation pneumonitis was low in both treatment arms (7.8% v 8.0%,  $P=0.625$ ). Rates of severe (grade 3) acute radiation esophagitis were similar between two arms (12.0% in arm A v 7.8% in arm B,  $P=0.484$ ). The toxicity profiles for both treatment arms are presented in **Table 3**.

### Discussion

The results from previous studies showed that chemotherapy given concurrently with radiation to the primary tumor produced satisfactory outcomes for selected patients with stage IV NSCLC [11, 13-15, 18, 19, 25]. In the present trial, we evaluated survival outcomes, and toxicity of Doc-Cis or Pem-Cis with concurrent

IMRT to the primary tumor for stage IV lung adenocarcinoma patients with unknown EGFR mutation status or EGFR wild type.

This trial showed that the Pem-Cis and Doc-Cis regimens resulted in similar response rates. However, the OS and PFS with the Pem-Cis regimen was longer than those in the Doc-Cis regime, the 1-year OS rates were 72.0% and 52.9%, respectively. Both regimes given concurrently with IMRT to the primary tumor achieved better survival times than chemotherapy alone for advanced NSCLC on the basis of historical data [3, 26]. ECOG 1594 showed that Doc-Cis regimens result in survival rates of 31% at one year and MST of 7.4 months [3]. The survival rates of 52.9% at one year in current study are similar to results from our previous prospective studies, in which concurrent chemotherapy and thoracic radiotherapy was also given for patients with stage IV NSCLC [18, 19]. Previous publications showed that Pem-

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**Table 3.** Incidence of acute toxicity, n (%)

Adverse effects	Arm A (n=51)	Arm B (n=50)	P value
<b>Leukocytes</b>			
Grade 0-2	19 (37.2%)	26 (52.0%)	0.098
Grade 3-4	32 (62.8%)	24 (48.0%)	
<b>Leukopenia</b>			
Grade 0-2	18 (35.3%)	33 (66.0%)	0.002
Grade 3-4	33 (64.7%)	17 (34.0%)	
<b>Anemia</b>			
Grade 0-2	45 (90.0%)	35 (62.0%)	0.024
Grade 3-4	6 (10.0%)	15 (30.0%)	
<b>Thrombocytopenia</b>			
Grade 0-2	44 (86.2%)	30 (60.0%)	0.003
Grade 3-4	7 (13.8%)	20 (40.0%)	
<b>Pneumonitis</b>			
Grade 0-2	47 (92.2%)	44 (88.0%)	0.484
Grade 3	4 (7.8%)	6 (12.0%)	
<b>Esophagitis</b>			
Grade 0-1	47 (92.2%)	46 (92.0%)	0.625
Grade 2	4 (7.8%)	4 (8.0%)	
<b>Gastrointestinal</b>			
Grade 0-2	45 (88.2%)	47 (94.0%)	0.309
Grade 3	6 (11.8%)	3 (6.0%)	

Cis chemotherapy produces 1-year survival rate of approximately 50% [27, 28]. In the present study, 1-year survival rate was 72% in the Pem-Cis arm. Superior survival in the present study may be attributable to our additional use of aggressive irradiation to the primary tumor. Zhang et al. retrospectively analyzed 41 advanced NSCLC patients were treated with pemetrexed plus cisplatin as the first-line chemotherapy combined with concurrent thoracic radiotherapy and revealed that the 1-, 2-, and 3-year overall survival rates were 87.5%, 67.1%, and 43.4%, respectively [29].

The JMDB trial showed that combination chemotherapy with pemetrexed plus cisplatin was superior to gemcitabine plus cisplatin in terms of efficacy and toxicity [24]. We searched the PubMed data base and found only one randomized phase III study which conducted by Park et al., have directly assessed the efficacy of Pem-Cis with Doc-Cis in chemotherapy-naive nonsquamous NSCLC patients [20]. The randomized phase III study by Park et al. [20]. revealed that the survival outcomes were similar between Pem-Cis and Doc-Cis. Whereas,

patients survival outcomes in Pem-Cis arm was improved significantly compared with Doc-Cis arm in the present trail, and, both arms achieved the prespecified criteria of a 1-year survival rate, 70% and 50%, respectively. This may have been due in part to the following reasons. Firstly, radiation therapy to the primary tumor with concurrent chemotherapy were used in our study. This treatment modality have been identified as improving survival outcomes stage IV NSCLC. Meanwhile, as the radiotherapy involved, it may be suggested to re-evaluate the value and efficacy of the chemotherapy. Secondly, lung adenocarcinoma patients with EGFR mutations receiving pemetrexed have a better response rate and longer PFS than those with wild type EGFR [30]. In the study of Park et al. [20], only patients with wild-type EGFR gene were included. However, most patients enrolled in the present study with unknown EGFR mutation status. Furthermore, Park et al. enrolled only 27.8% of the initially planned target subjects. Thus, they could not reach a statistically meaningful conclusion.

For patients with advanced NSCLC without EGFR or ALK mutations and a PD-L1 tumor proportion score of 50% or greater, pembrolizumab has been recommended as first-line treatment [31]. Compared with chemotherapy alone, the addition of pembrolizumab to pemetrexed and a platinum-based drug produce favorable OS and PFS in patients with metastatic nonsquamous NSCLC without EGFR or ALK mutations [32]. Radiation can induce effects beyond the radiation treatment fields and produce systemic response to local radiation with anti-PD-1 therapy [33, 34]. The value of thoracic radiation in combination with immunotherapy for patients with advanced NSCLC needs to be further investigated.

Another issue with the use of concurrent chemoradiation for advanced NSCLC relates to its potential toxicity; and, acute radiation pneumonitis, esophagitis, and hematologic toxicity were the most common and severe complication. The incidence of acute radiation pneumonitis and esophagitis were similar between two arms in the present trail. No patients experienced grade 3 to 5 radiation pneumonitis, and grade 2 events were observed in less than 10% of patients in both arms. Rates of radiation pneumonitis and esophagitis in our study were

not increased compared with studies of concurrent chemoradiation therapy for locally advanced NSCLC in the PROCLAIM and KCSG-LU05-04 trials [35, 36]. Hematologic toxicities in Doc-Cis arm were as expected and similar to that from other trials [3, 37, 38]. Compared with PROCLAIM and JMDB trials, more severe hematologic toxicities were observed in Pem-Cis arm in the present trial. Severe hematologic complications might have developed because of the use of combined treatment modalities and the differences of patient populations in the present trial, as stage IV NSCLC patients who included in JMDB trial were treated with chemotherapy alone, and the patients in the PROCLAIM trial had stage III NSCLC. Furthermore, the different ethnicity in these studies might have developed different severe complications. Only approximately 18% and 14% of patients were of Asian origin in PROCLAIM and JMDB trials, respectively. In the present trial, we found that Pem-Cis arm had lower rates of grade 3 or 4 neutropenia and leukopenia, and higher rates of anemia and thrombocytopenia compared with Doc-Cis arm. Rodrigues et al. [39] and Socinski et al. [40] also reported that pemetrexed had a significantly lower incidence of grade 3 or 4 neutropenia and leukopenia but a higher rate of anemia and thrombocytopenia compared with docetaxel. We acknowledge several limitations to the current study. First, the main limitation is that EGFR mutation testing was done in only 12% patients. Before 2017, insurance did not cover EGFR-TKI. Therefore, many patients cannot afford anti-EGFR mutation-positive lung adenocarcinoma treatment. Thus, EGFR mutation testing is not usually recommended in many patients who can not afford EGFR-TKI. Cheng *et al.* reported that EGFR testing rate was 42.54% in Northern China in 2014 and was significantly related to city level (first-tier cities vs. new first-tier cities vs. second-tier cities vs. third-tier and above cities : 69.04% vs. 38.08% vs. 34.05% vs. 14.11%,  $P < 0.001$ ) [41]. Second, pemetrexed maintenance treatment is not used in current study. Maintenance treatment was not given because of maintenance treatment with pemetrexed was not the standard of care when we designed this protocol, and close observation is also another option for patients not progressing on first-line chemotherapy.

### Conclusions

This trial demonstrated an increase of nearly 20% of 1-year survival rate for Pem-Cis with

concurrent radiation therapy compared with Doc-Cis plus concurrent concurrent radiation therapy. The incidence and severity of acute pneumonitis and esophagitis was similar between Pem-Cis and Doc-Cis arms. Although more grade 3 or 4 neutropenia, and leukopenia were observed in the Doc-Cis arm, and higher rates of grade 3 or 4 anemia and thrombocytopenia in the Pem-Cis arm. The toxicity was tolerable in both arms. Pem-Cis first-line chemotherapy with concurrent radiation therapy for patients with EGFR mutation unknown or negative stage IV lung adenocarcinoma represents a potential treatment option with acceptable toxicity and high overall survival rates. The results of this study require confirmation in a subsequent phase 3 study.

### Acknowledgements

This work was supported by Guizhou Provincial Education Office, China [grant number KY (2016)032]; and Science and Technology Office of Guizhou Province, China (LG 2012-062, and SZ 2014-3021). The funders had no role in study design, data collection and analysis, decision to publish or preparation of the manuscript.

### Disclosure of conflict of interest

None.

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